U.S. Army Space and Missile Defense Command/Armed Forces Strategic Command (USASMDC/ARSTRAT)

Systems Engineering and Technical Assistance Contract (SETAC)

Security Briefing

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Agenda

- National Industrial Security Program
- Definitions
- SETAC Industrial Security Requirements
- Facility Clearance Process
- Key Points
- Questions

National Industrial Security Program (NISP)

- U.S. industry develops and produces the majority of our nation's defense technology-much of which is classified-and thus plays a significant role in creating and protecting the information that is vital to our national security.

- The National Industrial Security Program (NISP) was established to ensure that cleared U.S. defense industry safeguards the classified information in their possession while performing work on contracts, programs, bids, or research and development efforts.

- The Defense Security Service (DSS) administers the NISP on behalf of the Department of Defense.

Definitions

- **Classified Contract**
  - Any contract that requires or will require access to classified information by the contractor or his employees in the performance of the contract. Access to classified information may take place at the contractor's facility, the government site, or another contractor facility. (A contract may be classified even though the contract document is not classified).

- **Facility**
  - A plant, laboratory, office, college, university, or commercial structure with associated warehouses, storage areas, utilities and components which, when related by function and location, form an operating entity

Definitions (Continued)

- **Facility Security Clearance**
  - An administrative determination that, from a security standpoint, a facility is eligible for access to classified information of certain category (an all lower categories).
- **Industrial Security**
  - That portion of information security which is concerned with the protection of classified information in the custody of U.S. industry.
- **Personnel Security Clearance**
  - An administrative determination that an individual is eligible, from a security point of view, for access to classified information of the same or lower category as the level of personnel clearance being granted.

Definitions (Continued)

- **Safeguarding**
  - Measures and controls that are prescribed to protect classified information. Safeguarding and protection of classified information are synonymous terms.

- **Definitions extracted from DoD 5220.22-M, National Industrial Security Program Operating Manual (NISPOM), dated February 2006.**

REMEMBER!!!

FACILITY = PEOPLE

SAFEGUARDING = STORAGE

SETAC Industrial Security Requirements

- Identified in SETAC Procurement Package
  - Scope of Work
  - DD Form 254, DOD Contract Security Classification Specification
- Classified Access at Both Government and Contractor Locations
- TOP SECRET Facility Clearance with TOP SECRET Storage
  - Certain personnel will require access to Sensitive Compartmented Information (SCI).

Facility Clearance Process

- Sponsorship (Request for a Facility Clearance or Request for Facility Clearance Upgrade)
  - Government for Prime Contractor
  - Cleared Contractor for Sub-Contractor
  - Facility Can Not “Self Sponsor”
- Sponsorship Letter & DD Form 254 to Defense Industrial Security Clearance Office, Facility Clearance Division
  - Reviews Request
  - Forwards Request to Appropriate DSS Regional Office
  - DSS Regional Office Assigns Facility to a DSS Industrial Security Representative

Facility Clearance Process - Continued

- DSS Industrial Security Representative Contacts Facility
  - Obtains Information About Facility
  - Provides Facility with Instructions on Completing Paperwork
  - Provides Basic Information about the NISP
  - Provides Guidance in Establishing an Industrial Security Program
- Five Essential Elements of Facility Clearance Process
  - Sponsorship
  - Business Structure/Organization
  - Security Agreement
  - Foreign Ownership, Control, Interest (FOCI)
  - Key Management Personnel

Facility Clearance Process - Continued

- Final Facility Clearance will be granted as soon as all final clearance requirements are satisfied.

- These requirements include:
  - Issuance of final personnel clearances for the Key Management Personnel (KMPs).
  - Execution of the DOD Security Agreement between the contractor and DSS.
  - A favorable foreign ownership, control, or influence (FOCI) determination.

Facility Clearance Process – Key Points

- Although called a “Facility Clearance” the actual building or structure is not cleared; the individuals who run, own, or manage the facility are cleared. These individuals are known as “Key Management Personnel (KMP).”
- A facility clearance is not granted until all KMPs receive their personnel clearances.
- Individual clearances are not recognized until facility clearance is granted.
- If a contractor requires the storing of classified information at a contractor facility, the contractor requires not only a facility clearance, but also safeguarding capabilities.

QUESTIONS?